



**INSTRUCTIONS FOR TRANSFER OF STOCK FROM A DONOR'S BROKERAGE ACCOUNT
DIRECTLY TO THE *UPON THIS ROCK* BROKERAGE ACCOUNT**

If a donor wishes to transfer shares from a personal brokerage account to the account of *Upon This Rock*, the donor must convey the following information to their broker:

Broker:.....LPL Financial
Account Name:.....FNDN of RC Diocese of Buffalo Upon this Rock
Account Number:.....2564-9290
DTC Routing Number:.....0075
Comment:.....Please list Donors' name and Parish to credit
Contact:.....M&T Securities
Phone:.....800.724.2120

Per IRS rules, the value of the gift will be determined upon the date the stock is transferred into the *Upon This Rock* account.

**INSTRUCTIONS FOR TRANSFER
OF A STOCK CERTIFICATE
HELD IN A DONOR'S NAME**

To donate a stock certificate held in your own name, please call or email Julie Mileham at 716.847.5576 (jmileham@buffalodiocese.org). A Stock Power Form and a Security Release Form will be sent for your notarized signature to complete the gift transfer.

Per IRS rules, the value of the gift will be determined as of the notarization date of all properly executed forms.

Please complete the attached donation letter in order to ensure accurate, prompt processing and acknowledgement of your gift. You can mail or fax the completed form to the address or fax number provided in the letter.



Foundation of the Roman Catholic Diocese of Buffalo
 795 Main Street
 Buffalo, NY 14203
 P: 716.847.5576
 F: 716.847.5589

_____ (date)

I wish to inform you that I/we are donating stock to Upon This Rock c/o the Foundation of the Roman Catholic Diocese of Buffalo.

I will transfer my stock to your account through my broker. I am giving _____ (# of shares) of _____ (name of company) stock as my gift.

Please note the following:

Broker's Name: _____

Brokerage Firm: _____

Telephone #: _____

I have provided my broker with the following delivery instructions:

DTC* Eligible Securities should be delivered as follows:

Pershing LLC

DTC# 0443

For further credit to: M&T Securities

For credit to account titled: FNDN OF RC DIOCESE OF BUFFALO NY

Account # AZA110644

Comment: Please list Donors' Name and Parish.

I expect the transfer to take place on or about _____ (date).

If you need to contact me, I can be reached at _____ (telephone #).

_____ (Signature)

_____ (Print Name)

_____ (Parish Name)

*DTC: Depository Trust Company. A DTC eligible security is defined as one that is freely tradable pursuant to U.S. securities laws and is otherwise qualified to be held at DTC and serviced.